



华认标准技术服务（苏州）有限公司
CCATS (SuZhou) Co., Ltd
IECQ 有害物质过程管理体系（HSPM）认证规则
IECQ HSPM Certification Rules

制定修订 Formulate or modify			修订内容摘要 Revision Summary	制定 Formulated	审核 Checked	核准 Approved
修订日期 Date	版 Versions	次 No.				
2018 年 9 月 1 日	A	0	新发行 New Issue	马兵兵	Sam Zhu	Sam Zhu
2019 年 1 月 29 日	A	1	1、删除流程图中 ERP 选项 Delete the ERP option in the flowchart 2、变更 3.1 中 IECQ 03-5 Ed 版本为 5.1 Change 3.1 IECQ 03-5 Ed version is 5.1 3、明确定义 3.2.1 中一阶段现场审核需要记录客户 HSPM 范围适用状况、内审与管审完成状况、客户关于 HSF 特性测试资源状况 Clearly define the first-stage on-site audit in 3.2.1. It is necessary to record the applicable status of the Client's HSPM scope, the status of internal audit and management review, and the Client's status of HSF characteristic test resources. 4、修订 4.3.3 明确定义证书转换需要收集的资料 Revision 4.3.3 clearly defines the information to be collected for certificate conversion 5、增加 6.4 对技术专家予以定义 Add 6.4 to define technical experts	徐丹宇	Sam Zhu	Sam Zhu
2019 年 2 月 25 日	A	2	1、补充 4.3.3 关于证书转换申请时提交资料 Supplementary 4.3.3 Submittals for Certificate Conversion Applications 2、修订 3.2.1 关于明确一阶段审核记录的要求 Revise 3.2.1 to clarify the requirements for stage 1 audit records	徐丹宇	Sam Zhu	Sam Zhu
2019 年 6 月 18 日	A	3	增加 3.2.6 技术审查 Increase 3.2.6 Technical review	徐丹宇	Sam Zhu	Sam Zhu
2021 年 4 月 21 日	A	4	调整 6.1.2.1 Auditors under training 实习审核员、Auditors 审核员 B) Auditors 审核员	徐丹宇	Sam Zhu	Sam Zhu

2024年7月26日	A	5	6.2条内容修改6.2 Revision of Clause Content 第8条增加：遵守并执行IECQ CABC的相关决议Article 8: Addition Observe and implement relevant resolutions of IECQ CABC. 增加3.2.7条款Add clause 3.2.7	汪红	Sam Zhu	Sam Zhu
2025年6月5日	A	6	根据《国家认监委关于加强认证规则管理的公告》修订相关内容 Revise relevant content according to the Announcement of the State Administration for Market Regulation on Strengthening the Management of Certification Rules	汪红	高礼伟	高礼伟
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	华认标准技术服务（苏州）有限公司 CCATS (SuZhou) Co., Ltd	编 号 No.: CCATS-QP-18
	IECQ 有害物质过程管理体系（HSPM）认证规则 IECQ HSPM Certification Rules	版本Version: A6

1. 目的General

为规范有害物质过程管理体系认证活动，提高认证有效性，促进有害物质过程管理体系认证工作健康发展，特制定本规则。These Rules are formulated to standardize the IECQ HSPM certification activities, improve the effectiveness of certification, and promote the healthy development of IECQ HSPM certification.

2.适用范围 Scope

本文件适用于CCATS 使用IECQ QC080000运行IECQ有害物质过程管理体系（HSPM）项目的审核和认证安排，并借鉴相关的程序和文件。This document gives an overview of the assessment and certification arrangements of CCATS for operating the IECQ HSPM Scheme that utilizes IECQ QC080000, and makes reference to associated procedures and documents.

3. 对 CCATS 的基本要求 Basic requirements for certification bodies

3.1 获得国际电工委员会 IECQ 批准、取得从事 IECQ 有害物质过程管理体系认证的资质。Obtained approval from the International Electrotechnical Commission (IECQ) and obtained the qualification to engage in IECQ hazardous substance process management system certification.

3.2 认证能力、内部管理和工作体系符合 GB/T 27021/ISO/IEC 17021-1 《合格评定 管理体系审核认证机构要求》。The certification capability, internal management and work system shall comply with GB/T 27021/ISO/IEC 17021-1 *Conformity assessment-Requirements for bodies providing audit and certification of management systems*.

3.3 建立内部制约、监督和责任机制，实现培训（包括相关增值服务）、审核和作出认证决定等工作环节相互分开，符合认证公正性要求。Establish internal restriction, supervision and responsibility mechanisms to separate training (including relevant value-added services), audit and certification decisions from each other, meeting the requirements of certification fairness.

3.4 鼓励 CCATS 通过国家认监委确定的认可机构的认可，证明其认证能力、内部管理和工作体系符合 GB/T 27021/ISO/IEC 17021-1 《合格评定 管理体系审核认证机构要求》。The certification bodies are encouraged to pass the accreditation of the accreditation bodies determined by the CNCA to prove that their certification capabilities, internal management and working systems comply with the requirements of GB/T 27021/ISO/IEC 17021-1 *Conformity assessment-Requirements for bodies providing audit and certification of management systems*.

3.5 不得将申请认证的组织（以下简称申请组织）是否获得认证与参与认证审核的审核

因认证规则属于本公司技术文件，如需获取完整版，请致电我司工作人员获取，联系电话：**0512-36626918**。